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|---|--|------------------------|-------------------|
| <p>TRANSMITTAL FORM</p> <p><i>Not to be used for post correspondence after initial filing</i></p> <p>Total Number of Pages in This Submission</p> |  | Application Number     | 10/520,322        |
|   |  | Filing Date            | December 30, 2004 |
|   |  | First Named Inventor   | Michael Katze     |
|   |  | Art Unit               | 1641              |
|   |  | Examiner Name          |                   |
|   |  | Attorney Docket Number | 55382-23          |

| ENCLOSURES (check all that apply)   |  |  |
|---|--|--|
| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment / Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input checked="" type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Reply to Missing Parts/ Incomplete Application<br><input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____<br><input type="checkbox"/> Landscape Table on CD | <input type="checkbox"/> After Allowance Communication to TC<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br>Cited References (5); Return Receipt Postcard |
| <b>Remarks</b>  |  |  |

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

|              |                               |          |        |
|--------------|-------------------------------|----------|--------|
| Firm         | Davis Wright Tremaine LLP     |          |        |
| Signature    |                               |          |        |
| Printed Name | Barry L. Davison, Ph.D., J.D. |          |        |
| Date         | June 15, 2007                 | Reg. No. | 47,309 |

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|                       |                    |               |  |
|-----------------------|--------------------|---------------|--|
| Signature             |                    |               |  |
| Typed or printed name | Judith E. Redmayne |               |  |
|                       | Date               | June 15, 2007 |  |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /M.Y./



PATENT

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June 15, 2007  
Date

*Judith E. Redmayne*  
Judith E. Redmayne

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Michael Katze et al.

Application No. : 10/520,322

Filed : December 30, 2004

For : METHODS AND COMPOSITIONS FOR DIAGNOSING  
HEPATOCELLULAR CARCINOMA

Art Unit : 1641

Docket No. : 55382-23

Date : June 15, 2007

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents:

In accordance with 37 C.F.R. §§ 1.56 and 1.97 through 1.98, applicants wish to make known to the Patent and Trademark Office the references set forth on the attached form PTO/SB/08. Copies of the cited references are enclosed. As to any reference supplied, applicants do not admit that it is "prior art" under 35 U.S.C. §§ 102 or 103, and specifically reserve the right to traverse or antedate any such reference, as by a showing under 37 C.F.R. § 1.131 or other method. Although the aforesaid

references are made known to the Patent and Trademark Office in compliance with applicants' duty to disclose all information they are aware of which is believed relevant to the examination of the above-identified application, applicants believe that their invention is patentable.

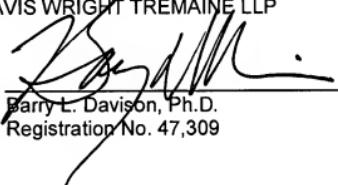
We hereby certify that each of the references set forth on the attached form PTO/SB/08 was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

Please acknowledge receipt of this Information Disclosure Statement and kindly make the cited references of record in the above-identified application.

Applicants believe no fee is required for filing this Information Disclosure Statement, since the U.S. Patent Office has not yet mailed a first Office Action on the merits.

Respectfully submitted,  
Michael Katze et al.  
DAVIS WRIGHT TREMAINE LLP

By

  
Barry L. Davison, Ph.D.  
Registration No. 47,309

Enclosure:

Postcard  
Form PTO/SB/08  
Cited References (5)

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Approved for use through 07/31/2006, OMB 0651-0931

Substitute Form 1449A/R30

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(Use as many sheets as necessary)*

### Sheer

of 2

*Complete if Known*

|                         |                   |
|-------------------------|-------------------|
| Application Number      | 10/520,322        |
| Filing Date             | December 30, 2004 |
| First Named Inventor    | Michael Katze     |
| Art Unit                | 1641              |
| Examiner Name           |                   |
| Attorney Deposit Number | 55282-22          |

Attorney Docket Number 55382-23

## U.S. PATENT DOCUMENTS

## FOREIGN PATENT DOCUMENTS

|                       |  |                    |  |
|-----------------------|--|--------------------|--|
| Examiner<br>Signature |  | Date<br>Considered |  |
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"EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. \* Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.4. Enter Office that issued the document, by the two-letter code (WIPO Standard 3.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard 3.16 if possible. \* Applicant is to place a check mark here if English language translation is attached.

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| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b> |                   | <i>(Use as many sheets as necessary)</i> |          |
| Application Number                                       | 10/520,322        |  |          |
| Filing Date  | December 30, 2004 |  |          |
| First Named Inventor                                     | Michael Katze     |  |          |
| Art Unit   | 1641              |  |          |
| Examiner Name  |                   |  |          |
| Sheet  | 2                 | of                                       | 2        |
|  |                   | Attorney Docket Number                   | 55382-23 |

#### NON-PATENT LITERATURE DOCUMENTS

|                    |             |                 |            |
|--------------------|-------------|-----------------|------------|
| Examiner Signature | /Misook Yu/ | Date Considered | 07/22/2008 |
|--------------------|-------------|-----------------|------------|

**\*EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here in English language. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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